Docket No.: SHIGA7.037APC Customer No. 20,995

## INFORMATION DISCLOSURE STATEMENT

Applicant : Koki Tamura

App. No : 10/560,126

Filed : August 28, 2006

For : POSITIVE RESIST COMPOSITION,

RESIST LAMINATES AND PROCESS FOR FORMING RESIST PATTERNS

Examiner : Cynthia Hamilton

Art Unit : 1795

CERTIFICATE OF EFS WEB TRANSMISSION

I hereby certify that this correspondence, and any other attachment noted on the automated Acknowledgement Receipt, is being transmitted from within the Pacific Time zone to the Commissioner for Patents via the EFS Web server on:

\_\_\_\_\_November 20, 2008

Noil S. Bargfold, Ph.D., Reg. No. 39,901

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 5 (five) references to be considered by the Examiner. Also enclosed are 3 (three) foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing date of a Notice of Allowance.

## CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Although U.S. 2003/0008968 and US 2004/0137377 were not directly cited in the counterpart foreign applications, they are the English language equivalents of JP 2003-107752 and JP 2003-142381, respectively, and are therefore submitted herewith.

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Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c), however, the Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

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